

2024 BSA FUNDAMENTALS

- April 16 2024-Tulsa Marriott Southern Hills
 - April 17, 2024- Harris Event Center/OBA
- CHECK WHICH DAY YOU ARE ATTENDING.**

OBA MEMBERS: REGISTER ONLINE AT WWW.OBA.COM.

Earlybird fee by 4/9/24: \$290
 Regular fee after 4/9/24: \$300
 Non-members: \$600

The fee includes instruction, materials, breaks and lunch.

Cancellation requests must be made in writing and be received by 4/9/2024 to receive a full refund.

No refunds will be given on requests received after 4/9/2024; however, substitutions may be made.

BANK/CO. _____

PHONE _____

FAX _____

P.O. BOX _____ CITY _____

ZIP _____

NAME _____

TITLE _____

EMAIL _____

NAME _____

TITLE _____

EMAIL _____

NAME _____

TITLE _____

EMAIL _____

PAY BY CREDIT CARD:

For security reasons, please e-mail your registration form and credit card information to:

secure e-mail: secure@oba.com

- BILL TO VISA MASTERCARD AMEX
 DISCOVER

CARDHOLDER NAME _____

CARD # _____

EXPIRATION DATE _____ CVC# _____

SIGNATURE _____

PAY BY CHECK:

Mail this form with payment to:

Oklahoma Bankers Association
 P.O. Box 960173
 Oklahoma City, OK 73196-0173

SCHEDULE

8:30 a.m.Registration

9:00 a.m. to 4:00 p.m.Program

LOCATION

April 16 **Tulsa Marriott Southern Hills**
 1902 E. 71st, Tulsa
 918/493-7000

April 17 **Harris Event Center at the OBA**
 643 N.E. 41st St., Oklahoma City
 405/424-5252

ACCOMMODATIONS

OKC
Residence Inn Bricktown
 400 E. Reno Ave.
 Oklahoma City, OK 73104
 405-601-1700
 Use Code OKBA for rate of \$122,
 Free breakfast, Self parking \$18/day.

Embassy Suites, OKC Medical Center
 741 N Phillips Ave
 405-239-3900
 Ask for the OBA business rate of \$149,
 Made to order breakfast, Self parking \$14/day.

Tulsa
Tulsa Marriott Southern Hills
 1902 E. 71st St., Tulsa
 918-493-7000
 Ask for the OBA corporate rate of \$129.

QUESTIONS?

Call Nancy, Debbie or Janis at the OBA for further information at 405-424-5252, or email Janis at janisr@oba.com.

If you have a disability that may impact your participation in this event, please forward a statement regarding any special needs to the OBA. We will contact you to discuss accommodations.



2024 BSA Fundamentals



APRIL 16 | TULSA SOUTHERN HILLS MARRIOTT
APRIL 17 | OKC HARRIS EVENT CENTER/OBA



TULSA



OKC



COURSE DESCRIPTION

BSA is an on-going, ever-changing “safety and soundness” issue. This workshop will provide current, updated tools, techniques, and “real world” examples for learning the critical components of a BSA/ AML Program. We will review the basic BSA regulatory framework and provide you with an understanding of the BSA, OFAC, and core recordkeeping requirements as well as current hot topics and emerging trends.

WHO SHOULD ATTEND

This program focuses on the fundamentals and basics of BSA and is designed for newly-appointed BSA/AML Officers and BSA/AML team members, as well as those needing a refresher and/or a refocus.

REGISTRATION

To qualify for the early bird fee of \$290, you must register by 4/9/24. The fee after 4/9/24 is \$300. Non-member fee is \$600. The fee is per person; instruction, materials, lunch are provided.

TOPICS

The specific components to be covered during this BSA Fundamentals include:

1. Understanding the Bank Secrecy Act
 - Money Laundering
 - History of Bank Secrecy Act
 - The Tools of BSA
 - The Role of FinCEN
 - BSA/AML Violations and Penalties
2. BSA/AML Risk Assessment and 4 Components of the Compliance Program
 - Internal Controls
 - Independent Testing
 - BSA Officer
 - Training
3. Section 326 of the USA PATRIOT Act
 - Customer Identification Program
 - Customer Due Diligence
 - Beneficial Ownership Update
 - Enhanced Due Diligence
4. BSA Reporting, Record Keeping, and Record Retention
 - Currency Transaction Reporting (CTR)
 - Monetary Instrument Logs (MIL)
 - Funds Transfers
 - Suspicious Activity Reporting (SAR)
 - Record Retention
5. Office of Foreign Asset Control (OFAC) Compliance and Hot Topics and Emerging Trends

SPEAKER

Dianne Barton is President of Performance Solutions, Inc. (PSI), a training and consulting company that specializes in providing solutions to the key challenges facing banks today in attracting and building profitable relationships with their customers. She has led the company’s training and consulting services for over 35 years. Her training and consulting expertise in leadership development, regulatory compliance, retail growth strategies, strategic planning, and sales and service culture implementations is recognized as providing “real world solutions” by banks of all sizes. PSI’s training programs are designed to “close the gap” between the banks’ needs and employees’ skills. A philosophy of experiential learning and participant involvement in training led to the development of the company’s “TELL-SHOW-DO” training method that is skill-based rather than theory. PSI’s training programs are described as energized, fast-paced, interactive, and results-focused. Before starting Performance Solutions, Inc. in 1983, Dianne held senior positions with Bank South Corporation, the Internal Revenue Service and the John H. Harland Company, where she introduced the highly acclaimed Officer Call Program. Dianne is a graduate of Georgia State University and is on the faculty of several state banking schools.

***7.25 CRCM Credits**