

2022 OPENING NEW ACCOUNTS

***Please Check your location.

Tulsa, August 17 OKC, August 18

OBA MEMBERS: REGISTER ONLINE AT WWW.OBA.COM.

Earlybird fee by 8/3/22: \$280

Regular fee after 8/3/22: \$300

Non-members: \$600

The fee is per person and includes instruction, materials, breaks and lunch.

Cancellation requests must be made in writing and be received by 8/3/22 to receive a full refund. A 50-percent refund will be given on all cancellations received by 8/10/22. No refunds will be given on requests received after 8/10/22; however, substitutions may be made.

BANK/CO. _____

PHONE _____

FAX _____

P.O. BOX _____ CITY _____

ZIP _____

NAME _____

TITLE _____

EMAIL _____

CELL PHONE _____

NAME _____

TITLE _____

EMAIL _____

CELL PHONE _____

PAY BY CREDIT CARD:

For security reasons, please fax your registration form and credit card information ONLY to the OBA's dedicated registrations fax line: 405-604-9545.

BILL TO VISA MASTERCARD AMEX DISCOVER

CARDHOLDER NAME _____

CARD # _____

EXPIRATION DATE _____ CVC# _____

SIGNATURE _____

PAY BY CHECK:

Mail this form with payment to:

Oklahoma Bankers Association
P.O. Box 960173

SCHEDULE

8:30 a.m. Registration
9:00 a.m. to 4:00 p.m.... Program

LOCATION

Harris Event Center at the OBA
643 N.E. 41st St., Oklahoma City
405-424-5252

Tulsa Marriott Southern Hills
1902 E. 71st St., Tulsa
918-493-7000

ACCOMMODATIONS

OKC Courtyard by Marriott OKC NW
1515 NW Expressway
405-848-0808
Ask for the OBA corporate rate of \$104-114
Parking & Breakfast Sandwich included

Embassy Suites
3233 Northwest Expy
405-842-6633
Ask for the OBA rate \$124-\$139
Parking & made to order breakfast included

Embassy Suites, OKC Medical Center
741 N Phillips Ave
405-239-3900
Ask for the OBA business rate of \$146
Made to order breakfast
Self parking \$14/day

Tulsa Tulsa Marriott Southern Hills
1902 E. 71st St., Tulsa
918-493-7000
Ask for the OBA corporate rate of \$109.

QUESTIONS?

Call Nancy, Debbie or Janis at the OBA for further information at 405-424-5252, or email Janis at janisr@oba.com.

If you have a disability that may impact your participation in this event, please forward a statement



2022 OPENING NEW ACCOUNTS

A Documentation and Compliance Seminar



AUGUST 17 | TULSA
AUGUST 18 | OKC



COURSE DESCRIPTION

Managing risk is the #1 priority for all financial institutions and it all starts at the new account desk. If a criminal cannot get in, they cannot steal from your organization and community. Well-trained new account personnel and universal bankers who recognize and stop attempted dishonest activity are the first line of defense in protecting a financial institution from fraudsters. Unfortunately, too often, new account personnel are trained "on the job" which results in an environment of potential vulnerability and unnecessary losses for the financial institution. Additionally, with constant new regulations, the need for ongoing compliance training is paramount to maintain diligence as well as update processes and procedures. This full day workshop teaches important new account opening procedures, best practices, and compliance requirements while focusing on vital information for every type of new account.

WHO SHOULD ATTEND

All customer contact personnel, supervisors, and officers whose responsibilities include opening or managing new accounts and certificates of deposit. Excellent for supervisors or officers who have recently assumed the responsibility of the new account area but perhaps have never worked in new accounts. Internal Auditors and Compliance Officers will find the information exceptionally beneficial.

REGISTRATION

To qualify for the early bird fee of \$280, you must register by 8/3/22. The fee after 8/3/22 is \$300. Non-member fee is \$600. The fee is per person; instruction, materials, breaks are provided.

LEARNING OBJECTIVES

- Six Essential Requirements for Opening Any New Account
- Understanding Signature Card and Account Agreement Contracts
- TIN Compliance
- Learn how a bank lost \$100,000 by succumbing to the "good customer syndrome"
- Sole Proprietorships; Sole Proprietorship Accounts for Married Couples
- Why are there so many different types of partnerships, and what is the difference?
- General Partnerships | Limited Partnerships | Limited Liability Partnerships
- Limited Liability Company - Single Member | Multi-Member | Series LLC | LLC Owned by an Entity
- Corporations - S Corps | Professional and Non-Profit Corporations | 501(c)(3) Tax Exempt Non-Profit
- Escrow Intermediary Accounts | Non-Profit Social Club and Associations | Political Organizations
- Public Funds and Governmental Units | Interest on Lawyer Trust Accounts (IOLTA)
- FinCEN – Beneficial Owner Due Diligence Rules
- Documenting foreign (out of state) businesses
- Documenting and FINCEN certifying complex, layered business structures
- Resolutions – purpose and proper completion
- Access to and impact on a business account when a business signer dies.
- Consumer Accounts: Individual | Joint with Rights of Survivorship | Tenants-In-Common Without Rights of Survivorship
- Adding Authorized Signers or Agents to Personal
- Power of Attorney – In-House vs. Out-of-House Form, Statutory POA Requirements
- Fiduciary/Trust Accounts – Formal vs Informal, Revocable vs Irrevocable, Living Trusts, Trust Account Certification
- Payable on Death, In Trust For "ITF"
- Accounts for Minors – Single Account, Joint Account, Uniform Transfer To Minors Act Accounts
- Court Ordered Accounts: Estate, Guardianship and Conservatorship
- Access at Death on a Personal or Business Account

SPEAKER

Suzette (Suzie) W. Jones, CFP®

With over 40 years of banking experience, Suzie has a reputation as being extremely knowledgeable, high-energy with a unique ability to take technical information and make it interesting and applicable. After 22 years, Suzie recently retired as an Executive Vice President from a large regional bank where she provided overall leadership and risk management to the \$11B Investments Division. For decades Suzie opened new accounts, managed new account teams, and provided hands-on input to the AML and BSA Committees within the bank. Suzie has a passion for sharing technical knowledge and lessons learned to provide risk management and promote excellence in the workplace. She partners nationally with individual banks, credit unions, private companies, and 14 State Bank Associations.

** THE 200+ PAGE SEMINAR MANUAL IS CUSTOMIZED TO OKLAHOMA LAW AND SERVES AS A VALUABLE TECHNICAL REFERENCE HANDBOOK. YOU ARE ENCOURAGED TO BRING A COPY OF YOUR INSTITUTION'S SIGNATURE CARD, ACCOUNT AGREEMENTS, TERMS AND CONDITIONS, AND RESOLUTION TO THE WORKSHOP.*