

**THE BAKER GROUP LP
BUSINESS CONTINUITY PLAN
CUSTOMER DISCLOSURE**

The Baker Group LP has developed and maintains a business continuity plan to address possible interruptions to our normal course of business. This plan is reviewed no less than annually and is updated as necessary. Our plan summarizes the actions The Baker Group LP will take in the event of a building, city-wide, or regional disruptive event. The firm has established and maintains redundant system capabilities at a secure off-site facility located in Oklahoma City, OK. Internal testing of the efficacy of these redundancies is conducted no less than annually by firm personnel. In the event a significant business disruption should occur, key personnel will be relocated to this facility.

Our firm maintains its hard copy books and records and its electronic records at the Oklahoma City, OK office. Electronic records are backed-up no less than once daily by copying the data to the servers located at our back-up facility. Additionally, a back-up hard drive created on the 15th of each month is transported to a secure location for permanent storage.

Hard copy reports generated on behalf of our clients are stored on-site for a period of 30 days. Reports are downloaded to our website and are accessible by our clients with a valid password. As with the hard-copy version, the internet versions are available for the 30-day period following the date generated. The website server is maintained at an out-of-state location.

Our approximate recovery time for business resumption, including relocation of personnel or technology is four (4) hours. This recovery objective may be negatively impacted by the unavailability or external resources and circumstances beyond our control.

In the event of a significant business disruption, customers may email their sales representative at the current web address substituting @bakerbackup.com for @gobaker.com.

Contact our Chief Compliance Officer, Misty Wrinkle, at mwrinkle@gobaker.com with any questions regarding the firm's Business Continuity Plan.